FORM PTO-1390 (REV. 5-93)

U.S. DEPARTMENT OF COMMERCE PAT ND TRADEMARK OFFICE

ATTORNEY'S DOCKET NUMBER 10191/16

TRANSMITTAL LETTER TO THE UNITED STATES DESIGNATED/ELECTED OFFICE (DO/EO/US) CONCERNING A FILING UNDER 35 U.S.C. 371

U.S. APPLICATION NO. (If known, see 37 CFR 1.5) 09/720761

INTERNATIONAL APPLICATION NO. PCT/DE00/00821	INTERNATIONAL FILING DATE					
TITLE OF INVENTION METHOD OF PLASMA ETCHING OF SILICON						
APPLICANT(S) FOR DO/EO/US						
LAERMER, Franz; SCHILP, Andrea; ELSNER, Bernhard						
Applicant(s) herewith submit to the United States Designated	d/Elected Office (DO/EO/US) the following items	and other information				
This is a FIRST submission of items concerning a filing under 35 U.S.C. 371.						
This is a SECOND or SUBSEQUENT submission of items concerning a filing under 35 U.S.C. 371.						
3. This is an express request to begin national example the expiration of the applicable time limit set in 35		ly rather than delay examination until				
4. A proper Demand for International Preliminary Ex	amination was made by the 19th month from the	earliest claimed priority date.				
5. 🖸 A copy of the International Application as filed (35	5 U.S.C. 371(c)(2))					
a. \square is transmitted herewith (required only if not transmitted by the International Bureau).						
b. ⊠ has been transmitted by the International Bureau.						
c. \square is not required, as the application was filed in the	e United States Receiving Office (RO/US)					
6. A translation of the International Application into E	English (35 U.S.C. 371(c)(2)).					
7. 🖸 Amendments to the claims of the International Ap	oplication under PCT Article 19 (35 U.S.C. 371(c)	(3))				
a. are transmitted herewith (required only if not tra	nsmitted by the International Bureau).					
b. have been transmitted by the International Bureau.						
c. have not been made; however, the time limit for making such amendments has NOT expired.						
d.⊠ have not been made and will not be made.						
8. A translation of the amendments to the claims un	der PCT Article 19 (35 U.S.C. 371(c)(3)).					
9. 🗵 An oath or declaration of the inventor(s) (35 U.S.C	C. 371(c)(4)) (unsigned).					
10. A translation of the annexes to the International P	Preliminary Examination Report under PCT Article	e 36 (35 U.S.C. 371(c)(5)).				
Items 11. to 16. below concern other document(s) or info	ormation included:					
11. 🖾 An Information Disclosure Statement under 37 CFI	R 1.97 and 1.98.					
12. \Box An assignment document for recording. A separate	e cover sheet in compliance with 37 CFR 3.28 ar	nd 3.31 is included.				
13. ☑ A FIRST preliminary amendment.						
☐ A SECOND or SUBSEQUENT preliminary amend	dment.					
14. A substitute specification.						
15. A change of power of attorney and/or address lett	A change of power of attorney and/or address letter.					
16 P Other items or information: International Search F	Report and Form PCT/RO/101					

	528 Roc'd PCT/PTO 2.8 DEC 2000					
U.S. APPLICATION NO If known	n, see	INTERNATIONAL APPLICA		ATTORNEY'S DOCKET NUMBER		
37 C.F.R.1 5	And the second second	PCT/DE00/00821		10 29		
17∈⊗ The following fees are submitted:			CALCULATIONS	PTO USE ONLY		
Basic National Fee (37 CFR 1.492(a)(1)-(5)): Search Report has been prepared by the EPO or JPO						
International preliminary examination fee paid to USPTO (37 CFR 1.482) \$690.00						
No international preliminary examination fee paid to USPTO (37 CFR 1.482) but international search fee paid to USPTO (37 CFR 1.445(a)(2)) \$710.00						
Neither international preliminary examination fee (37 CFR 1.482) nor international search fee (37 CFR 1.445(a)(2)) paid to USPTO						
International preliminary examination fee paid to USPTO (37 CFR 1.482) and all claims satisfied provisions of PCT Article 33(2)-(4)			-			
ENTER APPROPRIATE BASIC FEE AMOUNT =			E AMOUNT =	\$ 860		
Surcharge of \$130.00 for furnishing the oath or declaration later than 20 30 months from the earliest claimed priority date (37 CFR 1.492(e)).			\$			
Claims	Number Filed	Number Extra	Rate			
Total Claims	18 - 20 =	0	X \$18.00	\$ 0		
Independent Claims	4 - 3=	1	X \$80.00	\$ 80		
Multiple dependent claim(s	s) (if applicable)		+ \$270.00	\$ 0		
TOTAL OF ABOVE CALCULATIONS =			\$ 940			
Reduction by 1/2 for filing by small entity, if applicable. Verified Small Entity statement must also be filed. (Note 37 CFR 1.9, 1.27, 1.28).			\$			
SUBTOTAL =			\$ 940			
Processing fee of \$130.00 for furnishing the English translation later than 20 30 months from the earliest claimed priority date (37 CFR 1.492(f)).			\$			
TOTAL NATIONAL FEE =			TIONAL FEE =	\$ 940		
Fee for recording the enclosed assignment (37 CFR 1.21(h)). The assignment must be accompanied by an appropriate cover sheet (37 CFR 3.28, 3.31). \$40.00 per property +				\$		
TOTAL FEES ENCLOSED =			ENCLOSED =	\$ 940		
:- 3/2001 SNAJARRO 00000005 Ref: 00000021 DA#: 1106	5 110600 09720761 500 09720761			Amount to be: refunded	\$	
[C:970 850.00 CT				charged	\$	
a. A check in the amount of \$ to cover the above fees is enclosed.						
b. Please charge my Deposit Account No. 11-0600 in the amount of \$940.00 to cover the above fees. A duplicate copy of this sheet is enclosed.						
c. The Commissioner is hereby authorized to charge any additional fees which may be required, or credit any overpayment to Deposit Account No. 11-0600 . A duplicate copy of this sheet is enclosed.						
NOTE: Where an appropriate time limit under 37 CFR 1.494 or 1.495 has not been met, a petition to revive (37 CFR 1.137(a) or (b)) must be filed and granted to restore the application to pending status.						
SEND ALL CORRESPONDENCE TO: Control Contr			<u> </u>			
		SIGNA	TURE	J		
Kenyon & Kenyon One Broadway New York, New York 10004 Richard L. Mayer, Reg. No. NAME // 1927/19			22,490	_		
		DATE				

EXPRESS MAIL CERTIFICATE

"express mail" mailing label number $E130270261445$
DATE OF DEPOSIT December 28, 2000
TYPE OF DOCUMENT National Phase Application
serial no. Awaited Filing date Hewirth

I HEREBY CERTIFY THAT THIS PAPER OR FEE IS BEING DEPOSITED WITH THE UNITED STATES POSTAL SERVICE "EXPRESS MAIL POST OFFICE TO ADDRESSEE" SERVICE UNDER 37 CFR 1.10 ON THE DATE INDICATED ABOVE, BY BEING HANDED TO A POSTAL CLERK OR BY BEING PLACED IN THE EXPRESS MAIL BOX BEFORE THE POSTED DATE OF THE LAST PICK UP, AND IS ADDRESSED TO THE ASSISTANT COMMISSIONER FOR PATENTS, WASHINGTON, D.C. 20231.

BORIS POLANCO

(TYPED OR PRINTED NAME OF PERSON MAILING PAPER OR FEE)

(SIGNATURE OF PERSON MAILING PAPER OR FEE)

Title Method of Plasma Etching